

	<b>File with: Seattle City Clerk</b> <b>PO BOX 94728</b> <b>Seattle, WA 98124-4728</b> <b>Questions: (206) 684-8500</b> <b>(206) 615-1248</b> <b>polly.grow@seattle.gov</b>	<b>SEEC FORM</b> <div style="font-size: 2em; font-weight: bold;">F-1</div> <div style="font-size: small;">(7/18)</div>	<b>SEEC DOLLAR CODE</b> <table style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 5%;"></th> <th style="width: 15%;">AMOUNT</th> </tr> <tr><td>(1)</td><td>\$0 -- \$999</td></tr> <tr><td>(2)</td><td>\$1,000 -- \$4,999</td></tr> <tr><td>(3)</td><td>\$5,000 -- \$9,999</td></tr> <tr><td>(4)</td><td>\$10,000 -- \$24,999</td></tr> <tr><td>(5)</td><td>\$25,000 -- \$99,999</td></tr> <tr><td>(6)</td><td>\$100,000 -- \$199,999</td></tr> <tr><td>(7)</td><td>\$200,000 -- \$999,999</td></tr> <tr><td>(8)</td><td>\$1,000,000 -- \$4,999,999</td></tr> <tr><td>(9)</td><td>\$5,000,000 or more</td></tr> </table>		AMOUNT	(1)	\$0 -- \$999	(2)	\$1,000 -- \$4,999	(3)	\$5,000 -- \$9,999	(4)	\$10,000 -- \$24,999	(5)	\$25,000 -- \$99,999	(6)	\$100,000 -- \$199,999	(7)	\$200,000 -- \$999,999	(8)	\$1,000,000 -- \$4,999,999	(9)	\$5,000,000 or more	<b>PERSONAL FINANCIAL AFFAIRS STATEMENT</b>
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<b>Deadlines:</b> Incumbent elected and appointed officials -- by April 15. Candidates and others -- within two weeks of becoming a candidate or being newly appointed to a position.																								
<b>SEND REPORT TO Seattle City Clerk</b>																								
<b>"immediate family" means:</b> (a) a spouse or domestic partner, or (b) a parent, parent of a spouse or domestic partner, child, child of spouse or domestic partner, sibling, uncle, aunt, cousin, niece or nephew, if that person either resides with or is a dependent on the Covered Individual's most recently filed federal income tax return. SMC 4.16.080																								
Last Name	First	Middle Initial	Names of immediate family members. If there is no reportable information to disclose for dependent children, or other dependents living in your household, do not identify them. Do identify your spouse or domestic partner.																					
O'Brien	Michael	J																						
Mailing Address (Use PO Box or Work Address) *																								
P. O. Box 34025			Julie O'Brien SP Elliott O'Brien D Wyatt O'Brien D																					
City	County	Zip + 4																						
Seattle	King	98103																						
Filing Status (Check only one box.) <input checked="" type="checkbox"/> An elected or appointed official filing annual report <input type="checkbox"/> Final report as an elected official. Term expired: _____ <input type="checkbox"/> Candidate running in an election: month _____ year _____ <input type="checkbox"/> Newly appointed to an elective office			Office Held or Sought Office title: <u>City Councilmember</u> Position number: <u>6</u> Term begins: <u>1/1/2016</u> ends: <u>12/31/2019</u>																					
<div style="display: flex; justify-content: space-between;"> <div style="width: 10%;"> <b>1</b> </div> <div style="width: 90%;"> <b>INCOME</b> List each employer, or other source of income (pension, social security, legal judgment, etc.) from which you or an immediate family member, received compensation, in any form, of \$2,400 or more during the period. Include stock options received during the reporting period that had a value of more than \$2,400.            (Report interest and dividends in Item 3.)         </div> </div>																								
Show Self (S) Spouse (SP/DP) Dependent (D)	Name and Address of Employer or Source of Compensation	Occupation or How Compensation Was Earned	Amount: (Use Code)																					
S	City of Seattle - P. O. Box 34025 Seattle, WA 98124	City Councilmember	( 6 )																					
SP	Absolute Recognition - 604 N 45th St - Sea, WA 98103	Owner	( 3 )																					
SP	Firefly Kitchens - 844 NW 49th - Sea, WA 98107	Owner	( 3 )																					
			( )																					
Check Here <input type="checkbox"/> if continued on attached sheet																								
<div style="display: flex; justify-content: space-between;"> <div style="width: 10%;"> <b>2</b> </div> <div style="width: 90%;"> <b>REAL ESTATE</b> List street address, assessor's parcel number, or legal description AND county for each parcel of Washington real estate with value of over \$12,000 in which you or an immediate family member held a personal financial interest during the reporting period. (Show partnership, company, etc. real estate on F-1 supplement.)         </div> </div>																								
Property Sold or Interest Divested	Assessed Value (Use 1-9 Code)	Name and Address of Purchaser		Nature and Amount (Use Code) of Payment or Consideration Received																				
	( ) ( )			( ) ( )																				
Property Purchased or Interest Acquired		Creditor's Name/Address	Payment Terms (eg. 20 yrs at 4.3%)	Security Given																				
	( ) ( )			Mortgage Amount - (Use Code) Original Current																				
				( ) ( ) ( ) ( )																				
All Other Property Entirely or Partially Owned		Sun Trust Mtg	30 yrs/3.375% - Property	( 6 ) ( 7 )																				
604 N 45th St - Sea 98103	( 7 )	P. O. Box 26149	30 yrs 3.625% - Property	( 7 )																				
602 N 45th St - Sea 98103	( 7 )	Rich., VA 23260		( 7 )																				
		(for both)																						
Check here <input checked="" type="checkbox"/> if continued on attached sheet																								

CONTINUE ON NEXT PAGE



**3 ASSETS / INVESTMENTS - INTEREST / DIVIDENDS**

List bank and savings accounts, insurance policies, stock, bonds and other intangible property (including but not limited to stock options) held during the reporting period.

A. Name and address of each bank or financial institution in which you or an immediate family member had an account over \$24,000 at any time during the report period.	Type of Account or Description of Asset	Asset Value (Use 1-9 Code)	Income Amount (Use 1-9 Code)
B. Name and address of each insurance company where you or an immediate family member had a policy with a cash or loan value over \$24,000 during the period.		( )	( )
C. Name and address of each company, association, government agency, etc. in which you or an immediate family member, owned or had a financial interest worth over \$2,400. Include stocks, bonds, ownership, retirement plan, IRA, notes, stock options, and other intangible property. If you or your immediate family member had decision making authority regarding individual assets/investments list each asset or investment, the value and any income amount. EXAMPLE: If you self-directed an investment account identify each stock or other asset in that account. <b>Stock shall be reported by market value at the time of reporting.</b>	Vanguard Total Stock Market ETF - Index Mutual Fund	( 7 )	( 1 )
	Vanguard Total Worls Stock ETF - Index Mutual Fund	( 5 )	( 1 )
	Utah Education Savings Plan 529 College - Index Fund	( 5 )	( 1 )
	Washington GET - 529 College	( 4 )	( 1 )
	Vanguard federal Money Market	( 7 )	( 1 )

Check here ☐ if continued on attached sheet.

**4 CREDITORS**

List each creditor you or an immediate family member owed \$2,400 or more any time during the period. Don't include retail charge accounts, credit cards, or mortgages or real estate reported in Item 2.

AMOUNT  
(USE 1-9 CODE)

Creditor's Name and Address	Terms of Payment (eg. 6 years at 5.25%)	Security Given	original ( )	current ( )
			( )	( )

Check here ☐ if continued on attached sheet.

**5 NET WORTH**

Enter your estimated net worth.

Enter Dollar Amount

\$ 2,151,661

**6** All filers answer questions A thru D below. If the answer is YES to any of these questions, the F-1 Supplement must also be completed as part of this report. If all answers are NO and you are a candidate or an appointee to a vacant elective office filing your initial report, no F-1 Supplement is required.

Incumbent elected officials filing an annual financial affairs report also must answer question E. An F-1 Supplement is required of these officeholders unless all answers to questions A thru E are NO.

- A. At any time during the reporting period were you and/or an immediate family member (1) an officer, director, general partner or trustee of any corporation, company, union, association, joint venture or other entity or (2) a partner or member of any limited partnership, limited liability partnership, limited liability company or similar entity including but not limited to a professional limited liability company? yes If yes, complete Supplement, Part A.
- B. Did you and/or an immediate family member have an ownership of 10% or more in any company, corporation, partnership, joint venture or other business at any time during the reporting period? yes If yes, complete Supplement, Part A.
- C. Did you and/or an immediate family member own a business at any time during the reporting period? yes If yes, complete Supplement, Part A.
- D. Did you and/or an immediate family member prepare, promote or oppose state legislation, rules, rates or standards for compensation or deferred compensation (other than pay for a currently-held public office) at any time during the reporting period? no If yes, complete Supplement, Part B.
- E. **Only for Persons Filing Annual Report.** Regarding the receipt of items not provided or paid for by your governmental agency during the previous calendar year: 1) Did you, and/or an immediate family member accept a gift of food or beverages costing over \$50 per occasion? no or 2) Did any source other than your governmental agency provide or pay in whole or in part for you and/or an immediate family member to travel or to attend a seminar or other training? no If yes to either or both questions, complete Supplement, Part C.

**ALL FILERS EXCEPT CANDIDATES.** Check the appropriate box.

- ☒ I hold a local elected office. I have read and am familiar with SMC 2.04.300 regarding the use of public facilities in campaigns.

Contact Telephone: ( 206 ) 684-8800 \*

Email: mike.obrien@seattle.gov (work)\*

Email: (Home) Optional

**CERTIFICATION:** I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

4/17/2019

Date

Signature

\*CANDIDATES: Do not use public agency addresses or telephone numbers for contact information. **Report Not Acceptable Without Filer's Signature**

CONTINUE ON NEXT PAGE

Property Entirely or Partially Owned	Ass'd Value Code	Creditor Name/Address	Payment Terms	Security Given	Mtg Amnt Original	Current
4516 Evanston Ave N Seattle (King Co)	(7)	Washington Trust Bank PO Box 2127 Spokane WA 99210	30 year amort/7 yr/5.15%	Property	(6)	(6)
607 N 46th Street, Seattle (King Co)	(7)	Flatiron Properties 511 Boren Ave. N. Seattle WA 98109	Interest Only/3.85%	Deed of Trust	(6)	(6)





File with: Seattle City Clerk  
PO BOX 94728  
Seattle, WA 98124-4728  
Questions: (206) 684-8500  
(206) 615-1248  
Polly.Grow@Seattle.gov

SEEC FORM  
**F-1**  
SUPPLEMENT  
(7/18)

## SUPPLEMENT PAGE

PERSONAL FINANCIAL AFFAIRS STATEMENT

### PROVIDE INFORMATION FOR YOU AND ANY IMMEDIATE FAMILY MEMBERS

Last Name O'Brien	First Michael	Middle Initial J	DATE 4/17/2019
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**A**

#### OFFICE HELD, BUSINESS INTERESTS:

Provide the following information if, during the reporting period, you or any immediate family member

- (1) were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit organization, union, partnership, joint venture or other entity; and/or
  - (2) were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited liability company.
- Legal Name: Report name used on legal documents establishing the entity.
  - Trade or Operating Name: Report name used for business purposes if different from the legal name.
  - Position or Percent of Ownership: The office, title and/or percent of ownership held.
  - Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.
  - Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.
  - Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$12,000 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation.
  - Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met.

#### ENTITY NO. 1

Reporting For: Self ☒ Spouse ☒

Registered Domestic Partner ☐ Dependent ☐

LEGAL NAME: 3A40, LLC

POSITION OR PERCENT OF OWNERSHIP  
Self and spouse have a combined 50% ownership

TRADE OR OPERATING NAME: 3A40, LLC

ADDRESS: 604 N 45th Street - Seattle, WA 98103

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:  
Rental Property ownership and management

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:  
Purpose of payments

Amount (actual dollars)

N/A

\$ 0

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:  
Agency name:

Purpose of payment (amount not required)

N/A

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE  
Customer name:

Purpose of payment (amount not required)

N/A

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

607 N 46th Street, Seattle, WA 98103  
4516 Evanston Ave N, Seattle, WA 98103

Check here ☒ if continued on attached sheet

**CONTINUE PARTS B AND C ON NEXT PAGE**

Name <div style="text-align: center; font-size: 1.2em;">Michael J. O'Brien</div>				
<b>ENTITY NO. 2</b>		Reporting For: Self <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Registered Domestic Partner <input type="checkbox"/> Dependent <input type="checkbox"/>		
LEGAL NAME:     Absolute Recognition		POSITION OR PERCENT OF OWNERSHIP 100% owner		
TRADE OR OPERATING NAME:				
ADDRESS:   604 N 45th Street Seattle, WA 98103				
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION: <div style="text-align: center;">Promotional Advertising Company</div>				
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:				
Purpose of payments  N/A		Amount (actual dollars)  \$		
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:				
Agency name:  N/A		Purpose of payment (amount not required)		
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE				
Customer name:  N/A		Purpose of payment (amount not required)		
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<div style="display: flex; align-items: center;"> <div style="font-size: 2em; margin-right: 10px;"><b>B</b></div> <div> <b>LOBBYING:</b>     List persons for whom you, or any immediate family member, lobbied or prepared state legislation or state rules, rates, or standards for compensation or deferred compensation. Do not list pay from government body in which you are an elected official or professional staff member.         </div> </div>				
Person to Whom Services Rendered		Description of Legislation, Rules, Etc.		Compensation (Use Code 1-9)  (   )  (   )  (   )
Check here <input type="checkbox"/> if continued on attached sheet				
<div style="display: flex; align-items: center;"> <div style="font-size: 2em; margin-right: 10px;"><b>C</b></div> <div> <b>FOOD TRAVEL SEMINARS</b>     Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.         </div> </div>				
Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount  \$	Value (Use Code 1-9)  (   )  (   )  (   )
Check here <input type="checkbox"/> if continued on attached sheet				

# Information Continued

# F-1 Supplement

Name																								
<div style="display: flex; justify-content: space-between;"> <div> <p><b>ENTITY NO. 3</b></p> <p>LEGAL NAME: Firefly Kitchens</p> <p>TRADE OR OPERATING NAME:</p> <p>ADDRESS: 844 NW 49th Street</p> <p>BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION: Fermented vegetable production company</p> </div> <div> <p>Reporting For: Self <input type="checkbox"/> Spouse <input checked="" type="checkbox"/></p> <p>Registered Domestic Partner <input type="checkbox"/> Dependent <input type="checkbox"/></p> <p>POSITION OR PERCENT OF OWNERSHIP 100% Owner</p> </div> </div>																								
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## PAYMENTS FROM BUSINESS CUSTOMERS

## F-1 Supplement

Name

OBrien, Michael J

2

### PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE

Customer name:

Purpose of payment (amount not required)

Crown Pacific

Purchase fermented vegetables

UNFI

Purchase fermented vegetables

Horizon Distributors

Purchase fermented vegetables

Kehe Distributors

Purchase fermented vegetables

Check here ☐ if continued on attached sheet



# Information Continued

# F-1 Supplement

Name																								
<div style="display: flex; justify-content: space-between;"> <div> <p><b>ENTITY NO.</b>    4</p> <p><b>LEGAL NAME:</b>    Dennis C. O'Brien Living Trust</p> <p><b>TRADE OR OPERATING NAME:</b></p> <p><b>ADDRESS:</b>    604 N 45th Street - Seattle, WA 98103</p> <p><b>BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:</b></p> <p style="margin-left: 40px;">Trust that holds my dad's assets after his death in 2018</p> </div> <div> <p>Reporting For: Self <input checked="" type="checkbox"/> Spouse <input type="checkbox"/></p> <p>Registered Domestic Partner <input type="checkbox"/> Dependent <input type="checkbox"/></p> <p><b>POSITION OR PERCENT OF OWNERSHIP</b></p> <p style="margin-left: 40px;">co-trustee</p> </div> </div>																								
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<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 10%;">Date Received</th> <th style="width: 30%;">Donor's Name, City and State</th> <th style="width: 35%;">Brief Description</th> <th style="width: 15%;">Actual Dollar Amount</th> <th style="width: 10%;">Value (Use Code 1-9)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> <td style="text-align: center;">\$</td> <td style="text-align: center;">( )</td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td style="text-align: center;">( )</td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> <td style="text-align: center;">( )</td> </tr> </tbody> </table>					Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code 1-9)				\$	( )					( )					( )
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			\$	( )																				
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# Information Continued

# F-1 Supplement

Name	
<b>ENTITY NO.</b> 5	Reporting For: Self <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Registered Domestic Partner <input type="checkbox"/> Dependent <input type="checkbox"/>
<b>LEGAL NAME:</b> Sierra Club	<b>POSITION OR PERCENT OF OWNERSHIP</b> director
<b>TRADE OR OPERATING NAME:</b>	
<b>ADDRESS:</b> 2101 Webster St - # 1300 - Oakland, CA 94612	
<b>BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:</b> Environmental non-profit	
<b>PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:</b>	
Purpose of payments N/A	Amount (actual dollars) \$
<b>PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:</b>	
Agency name: N/A	Purpose of payment (amount not required)
<b>PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE</b>	
Customer name: N/A	Purpose of payment (amount not required)
<b>WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST</b> (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):	

B

LOBBYING: (Continued)

Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation (Use Code 1-9)
		( )
		( )
		( )

C

FOOD TRAVEL SEMINARS (continued)

Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code 1-9)
			\$	( )
				( )
				( )